SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person * | | | | 2. Issuer Name and Ticker or Trading Symbol | | | | | | 5. Relationship of Reporting Person(s) to Issuer | | | | |
|---|---------|--------------|--|---|---|--------|-----------------------------------|----------|-------------------------------|---|---|---|--|--|
| Pinto Walter | | | | nk Midstream | LLC | EN | LC] | (Check | (Check all applicable) | | | | | |
| | | 3 Data | of Earliest Transacti | on (Mont | - h/Day | (Noar) | - | Director | 10% O | | | | | |
| (Last) | (First) | (Middle) | 02/01/2 | | | in/Day | /ieal) | X | Officer (give title below) | Other (below) | specify | | | |
| 1722 ROUTH STREET | | | | | | | | | | EVP a | nd COO | | | |
| SUITE 1300 | | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person | | | | |
| (Street) | | | | | | | | | X | Form filed by One I | | na Person | | |
| DALLAS | TX | 75201 | | | | | | | | | ng r oroon | | | |
| (City) | (State) | (Zip) | | | | | | | | | | | | |
| | | Table I - No | n-Derivative | Securities Acq | uired, | Disp | osed of, or | Benefi | cially Ow | rned | | | | |
| Date | | | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | | 4. Securities A Disposed Of (D | | | 5. Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership | | |
| | | | | | Code V | | Amount (A) or (D) | | Price | Transaction(s) (Instr. 3 and 4) | | (Instr. 4) | | |
| Common Units | | | 02/01/2023 | | A ⁽¹⁾ | | 123,920 | Α | \$ <mark>0</mark> | 252,616 | D | | | |
| Common Units | | | 02/01/2023 | | F | | 48,763 | D | \$12.72 | 203,853 | D | | | |

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3A. Deemed Execution Date, if any (Month/Day/Year) | Transaction Code (Instr. 8) | | | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | | Derivative Security (Instr. 5) | derivative Securities Beneficially Owned Following Reported | Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
|--|---|---|-----------------------------------|---|-----|-----|--|--------------------|--|-------------------------------------|--------------------------------------|--|---|--|
| | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | Transaction(s) (Instr. 4) | | |

Explanation of Responses:

1. This form reports the acquisition of common units as a result of the vesting of performance units originally awarded in 2020 pursuant to performance unit agreements under the Company's long-term incentive plan and the surrender of common units for associated tax liabilities.

| Sarah M. Rechter, by power of | 02/03/2023 | | | |
|----------------------------------|------------|--|--|--|
| attorney | 02/03/2023 | | | |
| ** Signature of Reporting Person | Date | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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