SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Ш

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| | · · · · · · · · · · · · · · · · · · · | | | Name and Ticker of k Midstream. | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | | |
|--------------|---------------------------------------|--------------|--|---|---|------|-----------------------------------|---------------|--|---|---|---|--|--|
| | | | | of Earliest Transacti | | | | | Director Officer (give title | 10% O Other (| wner specify | | | |
| (Last) | (First) | (Middle) | 02/21/2 | .020 | | | | | below) | below) | | | | |
| 1722 ROUTH | STREET | | | | | | | | | EVP a | ind CFO | | | |
| SUITE 1300 | | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person | | | | |
| (Street) | | | | | | | | | X | Form filed by One Form filed by More | | ng Person | | |
| DALLAS | TX | 75201 | | | | | | | | | | | | |
| (City) | (State) | (Zip) | | | | | | | | | | | | |
| | | Table I - No | n-Derivative S | Securities Acq | uired, | Disp | osed of, or | Benefi | cially Ow | ned | | | | |
| Date | | | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | | 4. Securities A Disposed Of (E | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| | | | | | Code | v | Amount | (A) or (D) | Price | (Instr. 3 and 4) | | (1150.4) | | |
| Common Units | | | 02/21/2020 | | A ⁽¹⁾ | | 61,504 | A | \$ <mark>0</mark> | 365,221 | D | | | |
| Common Units | | | 02/21/2020 | | F | | 31.019 | D | \$4 54 | 334 202 | р | | | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, r) if any (Month/Day/Year) | , í | | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | | | Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported | Form: Direct (D) or Indirect (I) (Instr. 4) | Beneficial Ownership (Instr. 4) |
|--|---|--|--|------|---|--|-----|--|--------------------|-------|-------------------------------------|--------------------------------------|--|--|---------------------------------------|
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | Transaction(s) (Instr. 4) | | |

Explanation of Responses:

1. This is a grant of Restricted Incentive Units under the Company's long-term incentive plan awarded as part of the annual bonus which vested immediately.

Barry E. Davis, by power of 02/25/2020 attorney

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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